## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERS	HIP
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addr		•	2. Issuer Name and Ticker or Trading Symbol <u>Kodiak Sciences Inc.</u> [ KOD ]		ationship of Reporting P k all applicable) Director	10% Owner
(Last) 1200 PAGE M	(First) ILL ROAD	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/10/2021	- x	Officer (give title below) See Rema	Other (specify below) arks
(Street) PALO ALTO	CA	94304	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line) X	vidual or Joint/Group Fil Form filed by One Re Form filed by More th Person	porting Person
(City)	(State)	(Zip) Table I - Non-De	rivative Securities Acquired, Disposed of, or Ben	eficially	/ Owned	

## 2. Transaction Date 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 5. Amount of Securities 6. Ownership Form: Direct 7. Nature of Indirect 1. Title of Security (Instr. 3) 2A. Deemed 3. Transaction Execution Date, if any (Month/Day/Year) (Month/Day/Year) Code (Instr. Beneficially (D) or Beneficial Indirect (I) (Instr. 4) Ownership (Instr. 4) 8) Owned Following Reported (A) or (D) Transaction(s) (Instr. 3 and 4) Code v Amount Price **S**<sup>(1)</sup> 11/10/2021 \$115.1583(2) Common Stock 672 D 169,647 D Common Stock 11/10/2021 **S**<sup>(1)</sup> 204 D \$116.2949(3) 169,443 D

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		Expiration Date				Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a 10b5-1 trading plan.

2. The price reported in Column 4 is a weighted-average price. The shares were sold in multiple transactions ranging from \$115.00 to \$115.95, inclusive. The Reporting Person undertakes to provide the Issuer, any security holder of the Issuer, or the Staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in these footnotes.

3. The price reported in Column 4 is a weighted-average price. The shares were sold in multiple transactions ranging from \$116.29 to \$116.625, inclusive.

## Remarks:

Senior VP and Chief Financial Officer

<u>/s/ David Peinsipp, Attorney-</u> in-Fact for John A. Borgeson <u>11/12/2021</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).