SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

I I. Name and Address of Reporting Feison				. Issuer Name and Kodiak Scienc		0,		tionship of Reportir all applicable) Director	0 ()	lssuer Owner
(Last) 1200 PAGE MI	(First) ILL ROAD	(Midd	C)	. Date of Earliest Tra 2/24/2022	ansaction (Mo	nth/Day/Year)	X	Officer (give title below) Chairmar	Other below a and CEO	r (specify v)
(Street) PALO ALTO	CA	9430		. If Amendment, Dat	te of Original F	Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Grou Form filed by On Form filed by Mo Person	e Reporting Pe	rson
(City)	(State)	(Zip) Table I -	Non-Derivativ	ve Securities A	cquired, D	Disposed of, or Benef	icially	Owned		
1. Title of Security	y (Instr. 3)		2. Transaction Date	2A. Deemed Execution Date,	3. Transaction	4. Securities Acquired (A) or 5. Amount of 5. Composed Of (D) (Instr. 3, 4 and 5) Securities For				7. Nature of Indirect

	(Month/Day/Year)	if any (Month/Day/Year)	Code (Instr.		Disposed of (<i>b)</i> (iiistii	o, 4 and 0)	Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(1150.4)	(1150.4)
Common Stock	02/24/2022		S ⁽¹⁾		1,000,000	D	\$9.2017 ⁽²⁾	2,508,038	D	
Common Stock	02/25/2022		S ⁽¹⁾		340,000	D	\$8.9882 ⁽³⁾	2,168,038	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

				-			-	•							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exerc Expiration Da (Month/Day/\	Amount of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. 2,000,000 shares of common stock owned by the Reporting Person were pledged as collateral to secure obligations under a loan entered into in connection with a previously disclosed Stipulation for Settlement with the Reporting Person's spouse. The sales reported in this Form 4 were sold pursuant to a contractual enforcement action, pursuant to which the pledgee bank unilaterally liquidated the shares. The Reporting Person was not involved in the determination to sell such shares.

2. The price reported in Column 4 is a weighted-average price. The shares were sold in multiple transactions ranging from \$8.95 to \$9.49, inclusive. The Reporting Person undertakes to provide the Issuer, any security holder of the Issuer, or the Staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in these footnotes.

3. The price reported in Column 4 is a weighted-average price. The shares were sold in multiple transactions ranging from \$8.72 to \$9.40, inclusive.

Remarks:

/s/ David Peinsipp, Attorney-02/28/2022

in-Fact for Victor Perlroth

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.
