SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

\Box	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(h)	
U	obligations may continue. See Instruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] BORGESON JOHN A.			2. Issuer Name and Ticker or Trading Symbol <u>Kodiak Sciences Inc.</u> [KOD]		tionship of Reporting Pe all applicable) Director	10% Owner
(Last) 1200 PAGE M			3. Date of Earliest Transaction (Month/Day/Year) 03/10/2021	X	Officer (give title below) See Rema	Other (specify below) rks
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	/idual or Joint/Group Fili	
PALO ALTO	CA	94304		X	Form filed by One Re Form filed by More the	
(City)	(State)	(Zip)	-		Person	
		Fable I - Non-Deriv	ative Securities Acquired, Disposed of, or Bene	ficially	Owned	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V		Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	03/10/2021		S ⁽¹⁾		300	D	\$127.0767 ⁽²⁾	170,599	D	
Common Stock	03/10/2021		S ⁽¹⁾		609	D	\$128.5312 ⁽³⁾	169,990	D	
Common Stock	03/10/2021		S ⁽¹⁾		2,031	D	\$129.9023 ⁽⁴⁾	167,959	D	
Common Stock	03/10/2021		S ⁽¹⁾		1,255	D	\$130.8171 ⁽⁵⁾	166,704	D	
Common Stock	03/10/2021		S ⁽¹⁾		805	D	\$131.6884(6)	165,899	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(cigi, puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Ex		Expiration Da	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a 10b5-1 trading plan.

2. The price reported in Column 4 is a weighted-average price. The shares were sold in multiple transactions ranging from \$126.73 to \$127.34, inclusive. The Reporting Person undertakes to provide the Issuer, any security holder of the Issuer, or the Staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in these footnotes.

3. The price reported in Column 4 is a weighted-average price. The shares were sold in multiple transactions ranging from \$128.10 to \$128.86, inclusive.

4. The price reported in Column 4 is a weighted-average price. The shares were sold in multiple transactions ranging from \$129.30 to \$130.30, inclusive.

5. The price reported in Column 4 is a weighted-average price. The shares were sold in multiple transactions ranging from \$130.35 to \$131.30, inclusive.

6. The price reported in Column 4 is a weighted-average price. The shares were sold in multiple transactions ranging from \$131.405 to \$131.79, inclusive.

Remarks:

Senior VP and Chief Financial Officer

/s/ Carlton Fleming, Attorney-

03/12/2021

** Signature of Reporting Person Date

in-Fact for John A. Borgeson

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.