# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, D.C. 20549** 

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Under the Securities Exchange Act of 1934 (Amendment No. 3)\*

### **Kodiak Sciences Inc.**

(Name of Issuer)

Common Stock, par value \$0.0001 per share (Title of Class of Securities)

50015M109 (CUSIP Number)

December 31, 2020 (Date of Event Which Requires Filing of this Statement)

 $Check \ the \ appropriate \ box \ to \ designate \ the \ rule \ pursuant \ to \ which \ this \ Schedule \ is \ filed:$ 

□ Rule 13d-1(b)

□ Rule 13d-1(d)

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

#### CUSIP No. 50015M109

1	1 NAMES OF REPORTING PERSONS					
	Perceptive Advisors LLC					
2	CHECK TH	IE A	PPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)			
		(b) [				
		(-)				
3	SEC USE C	ONL	Y			
4	CITIZENS	HIP (	OR PLACE OF ORGANIZATION			
	Delaware					
		5	SOLE VOTING POWER			
NII	JMBER OF		0			
	SHARES	6	SHARED VOTING POWER			
	NEFICIALLY	ł				
	WNED BY		751,533			
	EACH	7				
REPORTING						
PERSON						
WITH:		8	SHARED DISPOSITIVE POWER			
			751,533			
9	AGGREGA	TE A	AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON			
	751,533					
10						
11	11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9					
	1.5%					
12	TYPE OF R	EPC	PRTING PERSON (SEE INSTRUCTIONS)			
	IA					

#### CUSIP No. 50015M109

1	1 NAMES OF REPORTING PERSONS				
	Joseph Ed				
2			PPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)		
	(a) 🗆	(b) [			
3	SEC USE O	NIN	V		
	3 SEC USE ONLI				
4	4 CITIZENSHIP OR PLACE OF ORGANIZATION				
	United States of America				
		5	SOLE VOTING POWER		
	JMBER OF	6	SHARED VOTING POWER		
	SHARES				
BENEFICIALLY OWNED BY 751,533		751,533			
EACH		7	SOLE DISPOSITIVE POWER		
REPORTING					
PERSON			0		
WITH: 8 SHARED DISPOSITIVE POWER		SHARED DISPOSITIVE POWER			
			EE4 E22		
9	751,533				
9	9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON				
	751,533				
10					
11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9				
	1.5%				
12	2 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)				
	IN				
	111/				

#### CUSIP No. 50015M109

1	1 NAMES OF REPORTING PERSONS					
	Perceptive Life Sciences Master Fund, Ltd.					
2			PPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)			
	(a) □	(b) [				
2	CEC LICE (	ANII X	7			
3	3 SEC USE ONLY					
4	CITIZENS	HIP (	OR PLACE OF ORGANIZATION			
	Cayman Islands					
		5	SOLE VOTING POWER			
NU	NUMBER OF		0			
	SHARES	6	SHARED VOTING POWER			
	NEFICIALLY	1	751 522			
OWNED BY EACH		7	751,533 SOLE DISPOSITIVE POWER			
RI	EPORTING	′	SOLE DISPOSITIVE POWER			
PERSON						
	WITH:	8	SHARED DISPOSITIVE POWER			
			751,533			
9	AGGREGA	TE A	MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON			
	751,533					
10	0 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS) □					
	AL DED CENTE OF CV ACC DEPOSITIONED BY A MOVING WITH DOLLAR					
11	PERCENT	OF C	CLASS REPRESENTED BY AMOUNT IN ROW 9			
	1 50/					
12	1.5%					
12	12 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)					
	CO					
	30					

#### Item 1(a). Name of Issuer:

Kodiak Sciences Inc. (the "Issuer")

#### Item 1(b). Address of Issuer's Principal Executive Offices:

1200 Page Mill Road, Palo Alto, CA 94304

#### Item 2(a). Names of Persons Filing:

The names of the persons filing this report (collectively, the "Reporting Persons") are:

Perceptive Advisors LLC ("Perceptive Advisors")

Joseph Edelman ("Mr. Edelman")

Perceptive Life Sciences Master Fund, Ltd. (the "Master Fund")

#### Item 2(b). Address of Principal Business Office or, if None, Residence:

The address of the principal business office of each of the Reporting Persons is:

51 Astor Place, 10th Floor New York, NY 10003

#### Item 2(c). <u>Citizenship</u>:

Perceptive Advisors is a Delaware limited liability company

Mr. Edelman is a United States citizen

The Master Fund is a Cayman Islands corporation

#### Item 2(d). <u>Title of Class of Securities</u>:

Common stock, \$0.0001 par value per share ("Common Stock")

#### Item 2(e). <u>CUSIP Number</u>:

50015M109

#### Item 3. If this statement is filed pursuant to §§ 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

Not applicable.

#### Item 4. Ownership.

The information required by this item with respect to each Reporting Person is set forth in Rows 5 through 9 and 11 of the cover page to this Schedule 13G. The ownership percentages reported are based on 49,953,750 outstanding shares of Common Stock, as reported in the Issuer's prospectus supplement filed on November 18, 2020.

The Master Fund directly holds 751,533 shares of Common Stock. Perceptive Advisors serves as the investment manager to the Master Fund and may be deemed to beneficially own the securities directly held by the Master Fund. Mr. Edelman is the managing member of Perceptive Advisors and may be deemed to beneficially own the securities directly held by the Master Fund.

#### Item 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the Reporting Persons have ceased to be the beneficial owner of more than five percent of the class of securities, check the following  $\boxtimes$ .

#### Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not applicable.

## Item 7. <u>Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person.</u>

Not applicable.

#### Item 8. <u>Identification and Classification of Members of the Group.</u>

Not applicable.

#### Item 9. <u>Notice of Dissolution of Group.</u>

Not applicable.

#### Item 10. <u>Certification</u>.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect, other than activities solely in connection with a nomination under § 240.14a-11.

#### **SIGNATURE**

After reasonable inquiry and to the best of its knowledge and belief, each of the undersigned certifies that the information set forth in this statement is true, complete and correct.

Date: February 16, 2021

PERCEPTIVE ADVISORS LLC

By: /s/ Joseph Edelman

Name: Joseph Edelman Title: Managing Member

/s/ Joseph Edelman

JOSEPH EDELMAN

PERCEPTIVE LIFE SCIENCES MASTER FUND, LTD.

By: Perceptive Advisors LLC

By: /s/ Joseph Edelman

Name: Joseph Edelman Title: Managing Member

#### **AGREEMENT**

The persons below hereby agree that the Schedule 13G to which this agreement is attached as an exhibit, as well as all future amendments to such Schedule 13G, shall be filed on behalf of each of them. This agreement is intended to satisfy the requirements of Rule 13d-1(k)(1)(iii) under the Securities Exchange Act of 1934.

Date: February 16, 2021

PERCEPTIVE ADVISORS LLC

By: /s/ Joseph Edelman

Name: Joseph Edelman Title: Managing Member

/s/ Joseph Edelman

JOSEPH EDELMAN

PERCEPTIVE LIFE SCIENCES MASTER FUND, LTD.

By: Perceptive Advisors LLC

By: /s/ Joseph Edelman

Name: Joseph Edelman Title: Managing Member